#### Supreme Court No. 85460-2

## IN THE SUPREME COURT OF THE STATE OF WASHINGTON

## IN RE THE RECALL OF DALE WASHAM PIERCE COUNTY ASSESSOR-TREASURER

Appeal from the Superior Court of Pierce County

The Honorable Thomas J. Felnagle

# APPELLANT PIERCE COUNTY ASSESSOR-TREASURER DALE WASHAM'S OPENING BRIEF

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#### I. INTRODUCTION

The right to recall is conditioned on compliance with a strict statutory process that ensures uniformity and impartiality. The process was not followed in this case.

#### II. ASSIGNMENTS OF ERROR

- A. Assignments of Error
- 1. The superior court erred by considering unverified charges and unverified additional information.
- 2. The superior court erred by considering Farris's "Amended Request" as an "Amended Petition."
- 3. The superior court erred by failing to determine the sufficiency of the charges and the adequacy of the petition within the 15 day statute of limitations.
- 4. The superior court erred by certifying a ballot synopsis formulated on unverified additional information.
- B. Issues Pertaining to Assignments of Error
- 1. Did the superior court err by considering unverified charges and unverified additional information? <u>Yes</u>. (Assignment of Error 1)
- 2. Did the superior court err by considering Farris's "Amended <u>Request</u>" as an "Amended <u>Petition</u>"? <u>Yes</u>. (Assignment of Error 2)
- 3. Did the superior court err by failing to determine the sufficiency of the charges and the adequacy of the petition within the 15 day statute of limitations? Yes. (Assignment of Error 3)
- 4. Did the superior court err by certifying a ballot synopsis

formulated on unverified additional information and charges which were neither concise nor legally and factually sufficient? Yes. (Assignment of Error 4)

#### III. STATEMENT OF THE CASE<sup>1</sup>

#### Unverified statement of charges with enclosures. A.

This action was initiated by Robin Farris, "as the Chairman of the Committee to Recall Dale Washam," based on an unverified statement<sup>3</sup> of six (6) charges filed with the Pierce County Auditor<sup>4</sup> on October 29, 2010.<sup>5</sup> The Pierce County Prosecutor formulated, certified and transmitted the language of the ballot synopsis based on the unverified charges to Farris, Washam and the Pierce County Superior Court within fifteen days after receiving those (unverified) charges (on November 12, 2010). The Prosecutor also prepared, filed and served his Summons and Recall Petition<sup>7</sup> on November 12, 2010.<sup>8</sup>

<sup>&</sup>lt;sup>1</sup> See App. B: Chronology

<sup>&</sup>lt;sup>2</sup> CP 9

<sup>&</sup>lt;sup>3</sup> CP 16

<sup>&</sup>lt;sup>4</sup> See App. A: "RCW 29A.56.120 Petition — Where filed.

Any person making a charge shall file it with the elections officer whose duty it is to receive and file a declaration of candidacy for the office concerning the incumbent of which the recall is to be demanded. The officer with whom the charge is filed shall promptly (1) serve a copy of the charge upon the officer whose recall is demanded, and (2) certify and transmit the charge to the preparer of the ballot synopsis provided in RCW 29A.56.130. The manner of service shall be the same as for the commencement of a civil action in superior court." <sup>5</sup> CP 190 [herein referred to as the "original unverified statement of charges"]

<sup>&</sup>lt;sup>6</sup> See App. A: RCW 29A.56.130; CP 1, 4 and 162

<sup>&</sup>lt;sup>7</sup> See App. A: RCW 29A.56.130(2) ["The prosecutor shall additionally certify and transmit the charges and the ballot synopsis to the superior court of the county in which the officer subject to the recall resides and shall petition the

The governing statute instructs this Court to hold a hearing and make a determination on the petition within 15 days of its filing (i.e. by November 28, 2010).

#### B. "Amended Request" without enclosures

On November 17, 2010, Farris filed with the Auditor<sup>10</sup> an:

Amended Request Adjudication to Petition to the Citizens of Pierce County for Recall of the Pierce County Assessor-Treasurer, Mr. Dale Washam (To Correct Citation in Page 2, These are the Allegations, Line 19, Charge 6, Line 2, and to Correct Verification)<sup>11</sup>

According to Farris, the amendment was "necessary" to "include dates and times." 12

However, her "Amended Request" did not accurately reflect the extent of the purported changes;<sup>13</sup> did not include the 141 pages of unverified enclosures attached to the original

superior court to approve the synopsis and determine the sufficiency of the charges."]

<sup>&</sup>lt;sup>8</sup> CP 189 [Special Deputy Prosecutor Alan Miles is designated as "Attorney for Plaintiff/Petitioner"]. CP 250

<sup>&</sup>lt;sup>9</sup> See App. A: RCW 29A.56.140; CP 165; See App. B: Chronology <sup>10</sup> CP 190 (4)

<sup>&</sup>lt;sup>11</sup> CP 190-191 [Hereinafter referred to as the "Amended Request"]

<sup>&</sup>lt;sup>12</sup> VRP 11/22/10 at 12:19-24; 13:7-9; Indeed, the "Amended Request" changed more than the ones identified in the subject line [CP 193]. This includes Farris's undisclosed change in page 2, last paragraph. The original (October 29) statement refers to 2008 while the "Amended Request" refers to 2009.

<sup>13</sup> CP 193-202[When Washam pointed this out at the 12/16/10 hearing, Mr. Oldfield responded: "I simply did not know that that change had occurred. I apologize for not pointing that out." VRP 12/16/10 starting at 5:15; 9:3-4].

unverified statement of charges;<sup>14</sup> and did not include any ballot synopsis<sup>15</sup> filed with the original unverified charges.<sup>16</sup>

On or about November 18, 2010, Farris served Washam with her "Memorandum in Support of Sufficiency of Charges<sup>17</sup> and Adequacy of Ballot Synopsis" along with a proposed order. <sup>18</sup> In it, Farris states that "the Petition and 'Amended Request'" are to be referred to as the "Recall Petition." However, the "Amended Request" was not attached to her Memorandum. The amendment

<sup>&</sup>lt;sup>14</sup> This apparently was intentional given the language differences from the original October 29 statement of charges and the November 17 "Amended Request." The original statement of charges included this sentence at the end of the second to last paragraph at page 2: "Due to the large number of examples of Mr. Washam's poor judgment, attitude and behavior; only a few samples have been extracted for illustration purposes from Enclosures (1) through (3)." [CP 10]. Farris changed that sentence without notice in her "Amended Request" to exclude reference to the enclosures and replace the highlighted language with the following: "I have selected the ones I have found to be the most offensive." <sup>15</sup> CP 19-162

<sup>&</sup>lt;sup>16</sup> CP 193-202[When Washam pointed this out at the 12/16/10 hearing, Mr. Oldfield responded: "I simply did not know that that change had occurred. I apologize for not pointing that out." VRP 12/16/10 starting at 5:15; 9:3-4].

<sup>17</sup> The Memorandum was misleading and violated the civil rules of procedure. It gave no notice to Washam that Farris had filed her "Amended Request" with the Auditor. Farris did not attach her "Amended Request." She did not wait on the prosecutor to amend the petition. In fact, although the prosecutor took "no position on the validity or effect, if any, of the Amended *Request*" [CP 191:9-10], Farris deceptively re-characterized her "Amended *Request*" as an "Amended *Petition*" when only the prosecutor is the statutorily authorized petitioner. CP 206:21-25; RCW 29A.56.130(2)

<sup>&</sup>lt;sup>19</sup> The charging party (voter) <u>is not</u> the "petitioner" in recall cases. That function is performed by the prosecutor after he has prepared the ballot synopsis and transmitted the charges and the ballot synopsis to the superior court. RCW 29A.56.130; See CP 4, 250

<sup>&</sup>lt;sup>20</sup> CP 206

was not authorized by the trial court<sup>21</sup> and was not served on Washam as required by law.<sup>22</sup>

#### C. Prosecutor declines to act on "Amended Request"

The "Amended Request" was attached to the Declaration of Alan L. Miles, Special Deputy Pierce County Prosecuting Attorney and mailed to Washam on November 18, 2010.<sup>23</sup> However, Deputy Miles did not include the 141 pages of unverified enclosures that were filed with the original unverified petition or any ballot synopsis formulated from those unverified charges.<sup>24</sup> Neither the Auditor nor the Prosecutor served Washam with a copy of the amended charges as required by law.<sup>25</sup>

The prosecutor did not petition, move to amend his petition or otherwise ask the court for a determination of the sufficiency of the "Amended Request." On the contrary, Deputy Miles stated

<sup>&</sup>lt;sup>21</sup> CP 206:21-25. The recall petition is to be served by the Auditor (not the voter/charging party) in the same manner as for the commencement of a civil action in superior court. RCW 29A.56.120. Judge Felnagle did not grant Farris's request to amend until December 16, 2010. VRP 12/16/10 at 11:14 <sup>22</sup> RCW 29A.56.120; CR 15

<sup>&</sup>lt;sup>23</sup> CP 203; See App. C

<sup>&</sup>lt;sup>24</sup> CP 19-162

<sup>&</sup>lt;sup>25</sup> See App. A: RCW 29A.56.120 [The Auditor is required to serve a copy of the charges on the officer whose recall is demanded in the same manner as for the commencement of a civil action in superior court.] The prosecutor simply mailed his declaration and the "Amended Request" to Washam on November 18, 2010. [CP 203] The only declaration of service in the record from the Auditor refers to an "Amended Request" and "Letter dated November 18, 2010." Farris's Amended Request letter is dated November 17, 2010. CP 193; 404.

<sup>&</sup>lt;sup>26</sup> See App. A: RCW 29A.56.130 See also CP 167

that "The Prosecuting Attorney takes no position on the validity or effect, if any, of this Amended Request."<sup>27</sup>

Washam filed his response to the petition on November 18, 2010.<sup>28</sup> He did not reference the "Amended Request." Among the arguments Washam raised was the fact that the petition should be dismissed *sua sponte* by the court since it was not verified as required by RCW 29A.56.110.<sup>29</sup>

On November 21, 2010,<sup>30</sup> the Auditor served Washam with an "Amended Request for Adjudication to Petition for Recall of the Pierce County Assessor-Treasurer; Letter Dated November 18, 2010" on November 21, 2010.<sup>31</sup> However, the "Amended Request" filed by Farris is dated November 17 not November 18.<sup>32</sup> There is no "Letter dated November 18, 2010" in the record.<sup>33</sup> There is no evidence the Auditor served Washam with Farris's November 17 "Amended Request" and/or the 141 pages of unverified enclosures with the original petition or the prosecutor's

<sup>&</sup>lt;sup>27</sup> CP 191; See App. C

<sup>&</sup>lt;sup>28</sup> CP 211

<sup>&</sup>lt;sup>29</sup> CP 212

<sup>30</sup> CD 284 404

<sup>&</sup>lt;sup>31</sup> CP 284<sup>°</sup> 404

<sup>&</sup>lt;sup>32</sup> CP 193; 258

<sup>33</sup> CP 555-556

ballot synopsis.<sup>34</sup> Washam has always maintained he was never served as required by law<sup>35</sup> with the amended charges.<sup>36</sup>

#### D. November 22 hearing

A hearing on the original petition was scheduled<sup>37</sup> for November 22, 2010.<sup>38</sup> Washam objected to the court's consideration of the "Amended Request" and moved it be stricken.<sup>39</sup> He also maintained he had not been served.<sup>40</sup> Farris argued that "The onus is on the Auditor, then, to make the deliveries and the service on the other parties."<sup>41</sup> In response, Judge Felnagle stated:

THE COURT: Well, unfortunately, we don't have, as I've already lamented about, a procedure for what you do when you amend. One of the things I could do would be to strike it and start all over. There is a degree of formality in this process that I think needs to be followed. Every time we deviate from it, we are leaving a big, gaping hole as to whether or not this is going to be an effective procedure, or not, so I think we need to be sure we are doing it in the right way, which means that the

<sup>&</sup>lt;sup>34</sup> CP 19-162. RCW 29A.56.130(2) requires the prosecutor to "certify and transmit the charges and the ballot synopsis to the superior court ... and shall petition the superior court to approve and to determine the sufficiency of the charges."

<sup>&</sup>lt;sup>35</sup> See App. A: RCW 29A.56.120 requires the Auditor to serve the charges in the same manner "as for commencement of a civil action in superior court."

 $<sup>^{36}</sup>$  CP 399:6-8; CP 531 (Farris unsuccessfully sought CR  $1\bar{1}$  sanctions against Washam for his claims.)

<sup>&</sup>lt;sup>37</sup> CP 184 Amended Notice of Recall Hearing (11/15/10)

<sup>&</sup>lt;sup>38</sup> VRP 11/22/10

<sup>&</sup>lt;sup>39</sup> CP 253-254

<sup>&</sup>lt;sup>40</sup> VRP 11/22/10 at 6:23-25 [Farris admitted to the Court that there was no "documentation of the attempts to serve or the actual service."]

<sup>&</sup>lt;sup>41</sup> VRP 11/22/10 at 14:23-25

amended petition needs to be delivered in the appropriate fashion and Mr. Washam needs to be given the time to respond to it, which is going to necessitate rescheduling this hearing. So, that's the ruling of the Court. I regret that, because I'd like to decide it right here and now. I guess what we will do is leave you to your respective devices.....<sup>42</sup>

There was no evidence the Auditor served Washam with the amended charges after that hearing. Instead, Farris's counsel (Mr. Oldfield) served Washam directly with a copy of the "Amended Request" after the hearing and again on or about December 2, 2010. 43 However, that did not include the 141 pages of unverified enclosures 44 filed with the original unverified charges 45 and relied upon by Farris. Furthermore, she did not file a motion to amend as required by CR 15 but unilaterally recharacterized her "Amended Request" as an "Amended Petition" contrary to RCW 29A.56.130(2). 46

#### F. December 16 Hearing

Judge Felnagle did not rule on the "amendment" until the final hearing on December 16, 2010<sup>47</sup> - the same hearing where he issued his final order. 48 In so doing, he considered both the

<sup>&</sup>lt;sup>42</sup> VRP 11/22/10 at 15:4-20

<sup>&</sup>lt;sup>43</sup> CP 256; 285

<sup>&</sup>lt;sup>44</sup> CP 19-162

<sup>&</sup>lt;sup>45</sup> CP 9

<sup>&</sup>lt;sup>46</sup> CP 206: 21-25

<sup>&</sup>lt;sup>47</sup> VRP 12/16/10 at 11:14

<sup>&</sup>lt;sup>48</sup> CP 546

unverified original charges and the "Amended Request." <sup>49</sup> The ballot synopsis lists five (5) charges. <sup>50</sup> The order was served on Washam on December 22, 2010.

#### G. Washam appeals

Pursuant to RCW 29A.56.270, Washam filed this petition for review on December 29, 2010.<sup>51</sup> On that same date, Farris filed a motion seeking accelerated review. That motion was considered by Deputy Clerk Susan L. Carlson via teleconference with counsel on January 6, 2011. A letter setting the briefing schedule was sent via email on January 6, 2011.

#### IV. SUMMARY ARGUMENT

Any voter seeking to recall an elected official must follow strict statutory procedures.<sup>52</sup> By law, the charges must be verified by the voter.<sup>53</sup> The voter then files the charges with the county auditor.<sup>54</sup> The auditor then serves copies of the charges upon the officer whose recall is demanded and certifies and transmits the

<sup>&</sup>lt;sup>49</sup> CP 546

<sup>&</sup>lt;sup>50</sup> CP 549

<sup>&</sup>lt;sup>51</sup> CP 554. This court reviews the sufficiency of a recall petition *de novo*. *Teaford v. Howard*, 104 Wn.2d 580, 590, 707 P.2d 1327 (1985).

<sup>&</sup>lt;sup>52</sup> See App. A: RCW 29A.56.110-.270. These "statutory mechanisms" condition any action and preclude any direct private right of action by the proponent. See *Schweiker v. Chilicky*, 487 US. 412, 423 (1988); *BIAW v. McCarthy*, 152 Wn.App. 720, 218 P.3d 196, 206 (2009)

<sup>&</sup>lt;sup>53</sup> See App. A: RCW 29A.56.110

<sup>&</sup>lt;sup>54</sup> See App. A: RCW 29A.56.120

charges to the county prosecutor.<sup>55</sup> The manner of service is "the same as for the commencement of a civil action in superior court."<sup>56</sup> Within 15 days after receiving the charges, the prosecutor "shall formulate a ballot synopsis of the charge" and certify and transmit the synopsis to the voter filing the charge, the official subject to recall and the superior court.<sup>57</sup> The prosecutor also "shall petition the superior court to approve the synopsis and to determine the sufficiency of the charges."<sup>58</sup> Within 15 days after receiving the petition, the superior court shall conduct a hearing on and shall have determined the sufficiency of the charges and adequacy of the ballot synopsis.<sup>59</sup> The court certifies and transmits the ballot synopsis to the officer subject to recall, the voter and the auditor.

In this case, everything that could go wrong did go wrong.

The case started with what the Judge Felnagle recognized as "a big red flag ... that could have been rectified by a new filing of a petition." Specifically, the initial charges were not verified and,

<sup>&</sup>lt;sup>55</sup> See App. A: RCW 29A.56.120

<sup>&</sup>lt;sup>56</sup> See App. A: RCW 29A.56.120

<sup>&</sup>lt;sup>57</sup> See App. A: RCW 29A.56.130

<sup>&</sup>lt;sup>58</sup> See App. A: RCW 29A.56.130

<sup>&</sup>lt;sup>59</sup> See App. A: RCW 29A.56.140

<sup>&</sup>lt;sup>60</sup> VRP 11/22/10 at 10: 11-15 [Judge Felnagle stated "I'm reluctant to have a question of law with a big *red flag* on it sitting right at the start of this case when that could have been rectified by a new filing of a petition and the service of it and the rescheduling of the hearing."] (Emphasis added)

therefore, void. 62 The charges should have been rejected by the prosecutor 63 on that basis alone before he filed his petition. He did not. Once the court became aware that the charges were not verified, 64 it lacked jurisdiction and should have dismissed the petition. 65 It did not.

As for Farris's "Amended Request". to correct the verification and amend the charges, 67 there is no evidence the auditor certified and transmitted the "Amended Request" to the prosecutor and served a copy on Washam as required by law. 68 In

<sup>61</sup> CP 16

<sup>&</sup>lt;sup>62</sup> "Void" means "[n]ull; ineffectual; nugatory; having no legal force or binding effect." *American Continental Ins. Co. v. Steen*, 151 Wn.2d 512, 521, 91 P.3d 864 (2004) [quoting <u>Black's Law Dictionary</u> 1822 (3d Ed. 1933)]

<sup>63</sup> In re Recall of Wasson, 149 Wn.2d 787, 792-793, 72 P.3d 170 (2003)

<sup>[&</sup>quot;Furthermore, the petitioner did not sign the letter, affirm or adopt the revised charges, or verify under oath that he believed the revised charges to be true as required by statute. Despite these formal deficiencies, the prosecutor drafted the ballot synopsis. The prosecutor should have rejected the additional information because it did not remedy the original deficiencies and Pina did not file an "Amended Request." (Emphasis added)]

<sup>&</sup>quot;Amended Request." (Emphasis added)]

64 On or about November 18, 2010. CP 190 [Declaration of Alan L. Miles, Special Deputy Pierce County Prosecuting Attorney] See: App. C.

of In commenting on the failure of a petitioner to timely appeal a ballot title rejection, the Court stated "[w]hen an available remedy is purely statutory in character, the procedures provided in the statute are exclusive and mandatory and must be strictly followed. Otherwise, the court has no jurisdiction to hear the matter at issue." Herron v. McClanahan, 28 Wn.App. 552, 562, 625 P.2d 707 (1981) Emphasis added.

Dated November 17, 2010 and filed with the auditor on November 17, 2010.
 CP 193 - 202

<sup>&</sup>lt;sup>68</sup> See App. A: RCW 29A.56.120. While there is a Declaration of Service of: "Amended Request for Adjudication to Petition for Recall of the Pierce County Assessor-Treasurer; Letter Dated November 18, 2010," Farris' letter is dated and filed stamped: November 17, 2010. CP 404, 193. There is no letter in the record dated November 18, 2010. CP 555-556.

fact, the prosecutor took "no position on the validity or effect, if any, of the Amended Request."

The prosecutor <u>did not</u>: "formulate a ballot synopsis;" "certify and transmit" the ballot synopsis to Farris and Washam; "certify and transmit the charges and the ballot synopsis to the superior court;" or "petition the superior court to approve the synopsis and determine the sufficiency of the charges." Nor did he move to amend his petition.

Farris unilaterally and without any authority surreptitiously introduced her "Amended Request" into the case via the text of her "Memorandum in Support of Sufficiency of Charges and Adequacy of Ballot Synopsis" by referencing it as an "Amended *Petition*" (*sic*). She mischaracterized her unprocessed "Amended Request" as an "Amended Petition" when the prosecutor expressly took "no position" whatsoever and, therefore, in effect, denied her request. Moreover, Farris failed to attach he "Amended Request" or any exhibits to her memorandum.

<sup>&</sup>lt;sup>69</sup> CP 191:9-10 See App. C

<sup>&</sup>lt;sup>70</sup> See App. A: RCW 29A.56.130(2)

<sup>&</sup>lt;sup>71</sup> CP 206:21-25 ["An amended Petition correcting one citation and correcting the verification to include proper statutory language was submitted by Ms. Farris on November 17, 2010 (together, the Petition and Amended Petition are referred to herein as the 'Recall Petition')."]

<sup>&</sup>lt;sup>72</sup> CP 206:21-25.

<sup>&</sup>lt;sup>73</sup> CP 191:9-10; See App. C

Proceeding with unverified charges contaminated the entire recall process. There is no authority to allow the charging party (Farris) to unilaterally amend void charges by serving Washam and filing charges directly with the court. That is not how the recall process works. She should have served her verified charges (including all attachments) on the auditor<sup>74</sup> who would then certify and transmit those to the prosecutor. The prosecutor would then prepare the ballot synopsis and petition the superior court to approve the synopsis and determine the sufficiency of the verified charges.<sup>75</sup> The prosecutor is the petitioner – not Farris.<sup>76</sup>

Moreover, the charges are not legally or factually sufficient. Farris argued that the "Recall Petition" includes both the petition filed by the prosecutor and her "amended request" filed with the auditor. She and the court erroneously refer to *her* "Amended Request" as "an amended petition. RCW 29A.56.130 clearly states that the prosecutor is the petitioner, not the charging party. Even assuming the "Amended Request" is

<sup>&</sup>lt;sup>74</sup> See App. A: RCW 29A.56.120

<sup>&</sup>lt;sup>75</sup> See App. A: RCW 29A.56.130

<sup>&</sup>lt;sup>76</sup> CP 4; See App. A: RCW 29A.56.130

<sup>&</sup>lt;sup>77</sup> CP 206:24-25

<sup>&</sup>lt;sup>78</sup> CP 206; VRP 1112/16/10 at 11:14

valid, it did not include the additional unverified information<sup>79</sup> filed with the original unverified charges relied upon by Farris and the Court for the details as required by law.<sup>80</sup> The trial court erred in allowing Farris to amend the petition at same hearing it made a final determination<sup>81</sup> and then relying on unverified exhibits to find each charge legally and factually sufficient.<sup>82</sup>

#### V. ARGUMENT

A. The superior court erred by considering unverified charges and unverified additional information.

Judge Felnagle lamented at the November 22, 2010 hearing,

I'm reluctant to have a question of law with a big *red flag* on it sitting right at the start of this case when that could be rectified by a new filing of a petition and service of it and the rescheduling of the hearing. 83

The recall process is governed by statute<sup>84</sup> with the courts serving as gatekeepers to ensure that process is followed."<sup>85</sup> As this Court recently stated In *In re Recall of Telford* <sup>86</sup>:

<sup>&</sup>lt;sup>79</sup> Farris did not include the 141 pages of enclosures to her unverified statement of charges [CP 19-162] with her verified "Amended Request" [CP 193-202] and, therefore, cannot be considered.

<sup>&</sup>lt;sup>80</sup> See App. A: RCW 29A.56.110

<sup>81</sup> VRP 12/16/11 at 11:14

<sup>82</sup> VRP 12/16/11 at 34:3; 35:16-17; 37:8-9; 38:14; 40:1-3

<sup>83</sup> VRP 11/22/10 at 10:11-15 (Emphasis added)

<sup>84</sup> See App. A: RCW 29A.56.110 - .270

<sup>&</sup>lt;sup>85</sup> In re Recall of Kant, 144 Wn.2d 807, 813, 31 P.3d 677 (2001) ["We merely function as a gatekeeper to ensure that the recall process is not used to harass

The question as to whether the grounds for recall are sufficient to cause the voters to desire the removal of the official in question is always a political one to be determined by them, and as to this courts will never interfere. But the question as to whether or not the proceedings looking to the recall of the officer comply with the constitutional and statutory law upon the subject is purely and wholly a judicial question.<sup>87</sup>

Here, the recall proceedings did not comply with state law. First, Farris filed unverified charges with the auditor. Second, the prosecutor failed to reject those unverified charges as required by law. Third, the superior court lacked jurisdiction to respect to the superior court lacked jurisdiction.

public officials by subjecting them to frivolous or unsubstantiated charges.... Accordingly, our role is limited to ensuring that only legally and factually sufficient charges go to the voters."] cited in *In re Recall of West*, 155 Wn.2d 659, 662, 121 P.3d 1190 (2005); See also *In re Recall of Pearsall-Stipek*, 141 Wn.2d 756, 764, 10 P.3d 1034 (2000).

<sup>86 166</sup> Wn.2d 148, 153-154, 206 P.3d 1248 (2009)

<sup>&</sup>lt;sup>87</sup> Emphasis added

<sup>&</sup>lt;sup>88</sup> CP 9-162.

Compare the role of the secretary of state and code reviser with respect to initiatives and referenda. RCW 29A.72.020; WAC 434-379-007.
 CP 16

<sup>&</sup>lt;sup>91</sup> See App. A: RCW 29A.56.110; See also: *In re Recall of Wasson*, 149 Wn.2d 787, 792-793, 72 P.3d 170 (2003); see also *In re Recall of Davis*, 164 Wn.2d 361, 365, 193 P.3d 98 (2008) ["The initial petition was rejected by the prosecuting attorney because the oath did not meet the requirements of state law. Clifford filed another petition April 25, 2007, with a corrected oath, containing six charges. Clifford later filed a memorandum and supplemental materials supporting his recall petition on May 16, 2007."] Compare filing an initiative or referendum also requires an affidavit signed by the sponsor. WAC 434-379-005(2) ["The proposed measure is not considered filed with the secretary of state until all documents and fees are filed, including any original versions required."] See:

http://www.sos.wa.gov/\_assets/elections/initiatives/2009%20Affidavit%20-%20Initiative.pdf .

<sup>&</sup>lt;sup>92</sup> In commenting on the failure of a petitioner to timely appeal a ballot title rejection, the Court stated "[w]hen an available remedy is purely statutory in character, the procedures provided in the statute are exclusive and mandatory and must be strictly followed. Otherwise, the court has no jurisdiction to hear

over the unverified charges<sup>93</sup> and should have dismiss the resulting petition.<sup>94</sup> Fourth, the "Amended Request" was ineffective because it was not processed according to the recall statute by either the auditor or prosecutor. Finally, even assuming the "Amended Request" was properly processed and before the court, it did not include the lengthy unverified enclosures Farris relies upon to substantiate her charges.<sup>95</sup> Hence, the charges are legally and factually insufficient. Judge Felnagle's decision to rely upon that unverified additional information to correct a ballot synopsis (which itself was formulated on unverified charges) was erroneous.

In response to Washam repeated objections, <sup>96</sup> Judge Felnagle stated:

The problem is twofold. One is that there is no real procedure set out in the statute or in the constitution as to what one does if there's a need to amend. On the one hand, you could say, well, an amendment would be like with any other legal pleading. You simply propose the amendment and give notice to

the matter at issue." Herron v. McClanahan, 28 Wn.App. 552, 562, 625 P.2d 707 (1981) Emphasis added).

Levy v. State, 91 Wn.App. 934, 936, 957 P.2d 1272 (1998) [Concerning an unverified claim for damages filed with the State Office of Risk Management.]
 In re Recall of Davis, 164 Wn.2d 361, 193 P.3d 98 (2008) [fn. 3 "The superior court in this case struck Clifford's memorandum and supplemental materials, including a copy of the bylaws of the Port of Seattle, because they did not conform to verification requirements of RCW 29A.56.110. ...."]

The Supreme Court must review the verified charges as they are contained in the recall petition. *In re Zufelt*, 112 Wn.2d 906, 914, 774 P.2d 1223 (1989) ("The sufficiency of the charge must be determined from the face of the petition")

petition.")

96 VRP 11/22/10 at 7:10-12 ["...there is no authorization of law in the recall statute under 29A.56 that allows any kind of amendment."]

the other side, and you keep the process moving. The other way to look at it would be that it's a defect and you need to start over. 97

However, lack of verification is "more than just a technicality." Lack of verification is a fatal defect necessitating that the charging party to start over. Allowing Farris to bypass the auditor and prosecutor and amend the prosecutor's petition in the existing case was clearly erroneous. In fact, the prosecutor washed his hands of it when Deputy Miles declared: "The Prosecuting Attorney takes no position on the validity or effect, if any, of the Amended Request."

This case is similar to *In re Recall of Wasson*. <sup>101</sup> In that case,

The prosecutor drafted the ballot synopsis after receiving additional information from petitioner's counsel. The petitioner did not sign the additional information or verify under oath that he believed the charges to be true and that he had knowledge of the facts as required by RCW 29.82.010. 102

<sup>&</sup>lt;sup>97</sup> VRP 11/22/10 at 9:11-19; Farris questioned the constitutionality of the verification requirement. CP 275: 2-8. This court has rejected such challenges. *In re Recall of Telford*, 166 Wn.2d 148, 152, 206 P.3d 1248 (2009) [Petitioner challenged the constitutionality of RCW 29A.56.110 -.140].

<sup>&</sup>lt;sup>98</sup> VRP 11/22/10 at 10:3-6; see *Levy v. State*, 91 Wn.App. 934, 936, 957 P.2d 1272 (1998) [Concerning an unverified claim for damages filed with the State Office of Risk Management.]

<sup>&</sup>lt;sup>99</sup> See <u>The Holy Bible</u>, Matthew 27:24 ["When Pilate saw that he could prevail nothing, but that rather a tumult was made, he took water, and washed his hands before the multitude, saying, I am innocent of the blood of this just person: see ye to it."]

<sup>&</sup>lt;sup>100</sup> CP 191:9-10; See App. C.

<sup>&</sup>lt;sup>101</sup> 149 Wn.2d 787, 72 P.3d 170 (2003)

<sup>&</sup>lt;sup>102</sup> *Id.*, at 790

#### In that case,

The trial court found that the charges were factually insufficient, legally insufficient, or both, for several reasons ... including that the charges failed to satisfy RCW 29.82.010<sup>103</sup> because the petitioner failed to satisfy the specificity requirements, failed to sign the charges forming the bases for the recall, and failed to verify under oath that he believed the facts to be true and had some knowledge of the facts. 104

#### Moreover, this Court stated:

Pina's failure to satisfy the specificity requirements was also observed by the prosecutor tasked with developing the ballot synopsis. The prosecutor initially rejected the petition because it did not contain a concise statement of the charges. The petitioner's counsel then sent a letter to the prosecutor containing revised recall charges with additional facts. This letter, however, did not satisfy the petition requirements of RCW 29.82.010. Furthermore, the petitioner did not sign the letter, affirm or adopt the revised charges, or verify under oath that he believed the revised charges to be true as required by statute. Despite these formal deficiencies, the prosecutor drafted the ballot synopsis. The prosecutor should have rejected the additional information because it did not remedy the original deficiencies and Pina did not file an amended petition. Because Pina's petition does not satisfy the qualitative prong of the factual sufficiency analysis, we do not need to reach the other elements of the analysis. 105

<sup>&</sup>lt;sup>103</sup> RCW 29.82.010 is now RCW 29A.56.110 [See App. A] <sup>104</sup> *Id*.

 $<sup>^{105}</sup>$  Id., at 792-793 [Emphasis added]. The prosecutor in this case took the erroneous position that he does not "determine whether the statement of charges meets statutory requirements as to form. Rather, the Prosecuting Attorney is merely the statutorily designated conduit for placing this matter before the Court for hearing," CP 5:1-3

The prosecutor is not "merely the statutorily designated conduit for placing this matter before the Court for hearing." <sup>106</sup> This Court ruled *In re Recall of Wasson* <sup>107</sup> that the prosecutor should act affirmatively to reject unverified charges. Should the prosecutor fail in that duty for whatever reason, <sup>108</sup> the Court must assume its gatekeeping duty and dismiss the original unverified charges and petition just as courts have done with unverified charges in other recall cases. <sup>109</sup>

Here, the prosecutor "should have rejected" the initial unverified charges and had Farris file the new "Amended Request" and enclosures with the auditor. Farris's failure to verify her original charges contaminated the time sensitive recall process, 110

<sup>&</sup>lt;sup>106</sup> CP 5:2-3 [Petition to Determine Sufficiency of recall Charges and for Approval of Ballot Synopsis]. Compare the role of the secretary of state and code reviser with respect to initiatives and referenda. RCW 29A.72.020; WAC 434-379-007.

<sup>&</sup>lt;sup>107</sup> 149 Wn.2d 787, 72 P.3d 170 (2003)

<sup>&</sup>lt;sup>108</sup> Note one of Farris's charges is that Washam's Deputy "Mr. Ugas filed a document with the Piece County Auditor's office to recall the Pierce County Prosecuting Attorney." CP 197(B)

<sup>109</sup> See, e.g. In re Recall of Davis, 164 Wn.2d 361, 365, 193 P.3d 98 (2008) ["The initial petition was rejected by the prosecuting attorney because the oath did not meet the requirements of state law."]; In re Recall of Wasson, 149 Wn.2d 787, 790, 72 P.3d 170 (2003)["The petitioner did not sign the additional information or verify under oath that he believe the charges to be true and that he had knowledge of the facts as required by RCW 29.82.010."]

<sup>110 &</sup>quot;State law directs the Court to conduct a hearing to determine the following within 15 days after receiving this Petition: (1) Whether the statement of charges filed by Robin Farris, Chairman, Committee to Recall Dale Washam attached as Exhibit A, is or is not factually and legally sufficient to support the recall of Dale Washam as Pierce County Assessor-Treasurer; (2) Whether the ballot synopsis attached to the Petition as Exhibit B is adequate, and to correct

including any ballot synopsis, and deprived the court of jurisdiction to hear that petition.<sup>111</sup>

### B. The superior court erred by considering Farris's "Amended <u>Request"</u>" as an "Amended <u>Petition</u>".

By filing her "Amended Request" (Letter dated November 17, 2010) with the County Auditor on November 17, 2010, Farris started the recall process anew. However, as noted above, this second statement of charges did not include additional verified information she relied upon for those charges 112 and was not processed in accordance with law.

Petitions must be filed with the auditor's office which serves it on the officer and certifies and transmits it to the prosecutor. There is no certification or proof of service for the "Amended Request" (Letter dated November 17, 2010) in the record.

that synopsis if the Court finds it inadequate; and (3) such other and further relief as the Court deems just and appropriate." CP 6:11-20 (Petition to Determine Sufficiency of Recall Charges and for Approval of Ballot Synopsis). In commenting on the failure of a petitioner to timely appeal a ballot title rejection, the Court stated "[w]hen an available remedy is purely statutory in character, the procedures provided in the statute are exclusive and mandatory and must be strictly followed. Otherwise, the court has no jurisdiction to hear the matter at issue." Herron v. McClanahan, 28 Wn.App. 552, 562, 625 P.2d 707 (1981) Emphasis added.

Compare the original charges and enclosures with the "Amended Request" which had no enclosures. CP 9-160; CP 193-202

<sup>&</sup>lt;sup>113</sup> See App. A: RCW 29A.56.120.

<sup>&</sup>lt;sup>114</sup> See CP 404 Declaration of Service of Amended Request "Letter Dated November 18, 2010". There is no such letter in the record. CP 555-556.

Within fifteen days after receiving a charge, the County

Prosecutor "shall formulate a ballot synopsis of the charge", certify
and transmit the synopsis and charge to the superior court and

"petition the superior court to approve the synopsis and to
determine the sufficiency of the charges."

The Prosecutor
never prepared a synopsis based on the "Amended Request" or
petitioned the superior court based on those amended charges.

Instead, the Special Prosecutor simply attached the "Amended
Request" to his declaration dated November 18, 2010 with this
caveat: "The Prosecuting Attorney has discharged these duties.

The Prosecuting Attorney takes no position on the validity or
effect, if any, of the Amended Request."

116

The prosecutor knew the procedure <sup>117</sup> and should have rejected the original charges for lack of verification. <sup>118</sup> Failure to

<sup>&</sup>lt;sup>115</sup> See App. A: 29A.56.130(2):

<sup>&</sup>quot;Amended *Request*" as an "Amended *Petition*" stating "together, the Petition and Amended Petition" should be referred as the "Recall Petition." CP 206 ["Memorandum in Support of Sufficiency of Charges and Adequacy of Ballot Synopsis"]. Farris did not file or attach her "Amended Request" or the 141 pages of enclosures filed with the original unverified charges to that Memorandum.

<sup>&</sup>lt;sup>117</sup> CP 165-176 [See CP 169:1-5: "The statement making the charge or charges must be signed by the person or persons bringing the charge, verified under oath that the person or persons believed that the charge or charges are true and that he or she has knowledge of the alleged facts upon which the stated grounds for recall are based. RCW 29A.56.110."]

<sup>&</sup>lt;sup>118</sup> In re Recall of Wasson, 149 Wn.2d 787, 793, 72 P.3d 170 (2003) ["Despite these formal deficiencies, the prosecutor drafted the ballot synopses. The

do so triggered a time sensitive chain of events and processes that are ignored if the original unverified charges are allowed to be amended by the voter with the court by-passing the recall process. There is no authority in the recall statue that allows a charging party to unilaterally amend a petition filed by the prosecutor. Moreover, she mischaracterized her unprocessed "Amended Request" as an "Amended Petition" when the prosecutor took "no position" and, therefore, did not grant her request.

Having been filed, the petition should have been dismissed immediately by the trial court. The court had no jurisdiction to entertain a request to amend the petition by Farris when she is not the designated petitioner by law. Moreover, the trial court's decision to allow the amendment at the final hearing (which was

p:

prosecutor should have rejected the additional information because it did not remedy the original deficiencies and Pina did not file an amended petition."] <sup>119</sup> See App. A: RCW 29A.56.130(2) "The preparer shall additionally certify and transmit the charges and the ballot synopsis to the superior court of the county in which the officer subject to recall resides and shall petition the superior court to approve the synopsis and to determine the sufficiency of the charges." Farris relied upon *Pederson v. Moser*, 99 Wn.2d 456, 662 P.2d 866 (1983) to erroneously claim that a recall *petition* can be amended by the charging party. [CP 525-526]. However, that case involved amended recall *demands* made by the charging party before any action was taken. *Pederson v. Moser*, 99 Wn.2d 456, 458 & 461, 662 P.2d 866 (1983).

<sup>120</sup> CP 206:21-25.

<sup>&</sup>lt;sup>121</sup> CP 191:9-10; See App. C

<sup>&</sup>lt;sup>122</sup> The courts has the power to enjoin a recall election if proper procedures are not followed. See *Gibson v. Campbell*, 136 Wn. 467, 471, 241 P. 21 (1925). In addition, the court may stay an election until some appropriate future date. See *Janovich v. Herron*, 91 Wn.2d 767, 780, 592 P.2d 1096 (1979).

<sup>&</sup>lt;sup>123</sup> See App. A: RCW 29A.56.130 (The prosecutor is the petitioner.); VRP 11/22/10:3-5

beyond the 15 day statute of limitations)<sup>124</sup> deprived Washam of due process as required by law and civil rules.<sup>125</sup>

C. The superior court erred by failing to determine the sufficiency of the charges and the adequacy of the petition within the 15 day statute of limitations.

RCW 29A.56.140 provides:

Within fifteen days after receiving the petition, the superior court shall have conducted a hearing on and shall have determined, without cost to any party, (1) whether or not the acts stated in the charge satisfy the criteria for which a recall petition may be filed, and (2) the adequacy of the ballot synopsis. ....

The 15 day statute of limitations is "a strict, uniform deadline" for the trial court to make its decision. The 15 day statute of limitations <sup>127</sup> was specified in the summons. The summons and petition were filed by the prosecutor on November 12, 2010. This included a "Ballot Synopsis of Recall Charge." Consequently, the 15 day statute of limitations for determination by the Superior Court would have been November

<sup>124</sup> RCW 29A.56.140

<sup>&</sup>lt;sup>125</sup> See App. A: RCW 29A.56.120; CR 15

<sup>&</sup>lt;sup>126</sup> See, e.g. RCW 36.70C.040(2)-(3) [LUPA's 21 day statute of limitations] <sup>127</sup> See App. A: RCW 29A.56.140; see also CP 163 [Letter from Prosecutor to Superior Court Clerk dated November 9, 2010 stating "This letter is to call the above-referenced case to your attention because it is required by statute to be heard within 15 days of filing. RCW 29A.56.140"].

<sup>&</sup>lt;sup>128</sup> CP 2:4-6 ["By statute, the Court is directed to conduct a hearing within 15 days of the filing of the Petition."]

<sup>&</sup>lt;sup>129</sup> CP 2 et seq..

<sup>&</sup>lt;sup>130</sup> CP 162.

30, 2010. While there was a hearing on November 22, 2010, no determination was made until December 16, 2010 due to the petitioner's attempt to amend the petition. <sup>131</sup>

Although Farris filed a verified "Amended Request" without any of the enclosures she relied on originally with the county auditor on November 17, 2010, 132 the auditor did not "certify and transmit the charge to the preparer of the ballot synopsis" as required by RCW 29A.56.120(2). 133 The prosecuting attorney did not act upon her "Amended Request" because he did not draft a new ballot synopsis or petition the Superior Court for a determination of the sufficiency of the "Amended Request." Special Deputy Miles wrote "The Prosecuting Attorney takes no position of the validity or effect, if any, of the Amended Request." By the time the Superior Court made a determination on December 16, 2010, 136 the 15 day statute of limitations 137

<sup>&</sup>lt;sup>131</sup> VRP 11/22/10 at 15:4-18

<sup>&</sup>lt;sup>132</sup> CP 193; RCW 29A.56.120

<sup>&</sup>lt;sup>133</sup> There is no certification by the Auditor. Compare CP 6:3-8 [prosecutor's certification and transmittal of the original charges and ballot synopsis as required by RCW 29A.56.130(2)]. See (cases)

<sup>&</sup>lt;sup>134</sup> See App. A: RCW 29A.56.130

<sup>&</sup>lt;sup>135</sup> CP 191:9-10; See App. C

<sup>&</sup>lt;sup>136</sup> CP 546-548 [Order re: Sufficiency of Recall Charges and Adequacy of Ballot Synopsis]

<sup>&</sup>lt;sup>137</sup> See App. A: RCW 29A.56.140; see also CP 163 [Letter from Prosecutor to Superior Court Clerk dated November 9, 2010 stating "This letter is to call the above-referenced case to your attention because it is required by statute to be heard within 15 days of filing. RCW 29A.56.140"].

specified in the summons<sup>138</sup> had expired causing the court to lose jurisdiction.<sup>139</sup>

D. The superior court erred by certifying a ballot synopsis formulated on unverified additional information and charges which were neither concise nor legally and factually sufficient.

Recall charges must be *both* factually and legally sufficient before the petitioner may proceed further in the recall process.<sup>140</sup>

If a recall charge is either legally insufficient or factually insufficient as those terms are defined by law, the charge must be dismissed.<sup>141</sup> The charging party may support his or her statement of charges with additional materials beyond the face of the charges themselves.<sup>142</sup> Any inferences must be supported by facts set forth in the statement of charges or supporting documentation.<sup>143</sup> "Such materials, however, must be timely submitted *and* the Superior

<sup>138</sup> CP 2:4-6 ["By statute, the Court is directed to conduct a hearing within 15 days of the filing of the Petition."]

<sup>&</sup>lt;sup>139</sup> In commenting on the failure of a petitioner to timely appeal a ballot title rejection, the Court stated "[w]hen an available remedy is purely statutory in character, the procedures provided in the statute are exclusive and mandatory and must be strictly followed. Otherwise, the court has no jurisdiction to hear the matter at issue." Herron v. McClanahan, 28 Wn.App. 552, 562, 625 P.2d 707 (1981) Emphasis added.

<sup>&</sup>lt;sup>140</sup> Chandler v. Otto, 103 Wn.2d 268, 274, 693 P.2d 71 (1984); In re Recall of Sandhaus, 134 Wn.2d 662, 668, 953 P.2d 82 (1998).

<sup>&</sup>lt;sup>141</sup> Chandler v. Otto, 103 Wn.2d at 274.

<sup>&</sup>lt;sup>142</sup> CP 172 [citing *In re Recall of West*, 155 Wn.2d 659, 666, 121 P.3d 1190 (2005)].

<sup>&</sup>lt;sup>143</sup> CP 171:19-21 [citing *In re Recall of Carkeek*, 156 Wn.2d 469, 474, 128 P.3d1231 (2006)]

Court may properly decline to accept materials that are not offered in a timely fashion."<sup>144</sup>

The charges filed by Farris depend on 141pages of unverified additional information. She relies on that additional information for the statutorily required detailed description of the acts complained of and the laws violated. Farris acknowledged that The investigative reports appended to the Amended Petition provide sufficient factual predicate to recall Mr. Washam. However, that additional information was neither verified nor appended to her Amended Request. Therefore, it was erroneous for the court to rely on that unverified information.

RCW 29A.56.110 requires charges to

[S]tate the act or acts complained of in concise language, give a detailed description including the approximate date, location, and nature of each act complain of, be signed by the person or persons making the charge ... and be verified under oath.

<sup>&</sup>lt;sup>144</sup> CP 172-173 [citing *In re Recall of Reed*, 156 Wn.2d 53, 124 P.3d 279 (2005)] Emphasis added

<sup>&</sup>lt;sup>145</sup> CP 9-160

<sup>&</sup>lt;sup>146</sup> See App. A: RCW 29A.56.110

<sup>147</sup> CP 10 ["The following charges are based on these three investigations ...."]

<sup>&</sup>lt;sup>148</sup> CP 278

<sup>&</sup>lt;sup>149</sup> VRP 12/16/11 at 34:3; 35:16-17; 37:8-9; 38:14; 40:1-3

The Court was aware of that statutory requirement but erroneously chose to correct the charges by correcting the ballot synopsis. 150

> THE COURT: Do you think there's a defect as to the requirement of dates and location with regard to the other charges that don't specify dates? MR. WASHAM: Your Honor, that's the point ... 151

THE COURT: All right. What I am going to propose to do --- and I will hear you on this - is accept the amended – I am not accepting, but adopting as my own the version by the petitioning party with the need to re-number and with the insertion in Charges 2 and 6 of the term of office of Mr. Washam as the dates that are the appropriate one, because those two charges talk about the office as a whole and the kind of overarching conduct that permeated the office ....

MR. WASHAM: Your Honor, you're manufacturing. I want to go on record to object to what you are doing here with this ballot synopsis. THE COURT: I'm supposed to – MR. WASHAM: You are manufacturing dates in,

giving these people dates that I am supposed to have done something that should've been in the charge in the very beginning. 152

An objective comparison of the ballot title formulated based on the unverified charges<sup>153</sup> with the one developed by the superior court based on the "Amended Request" 154 shows that the court tried to

<sup>150</sup> See VRP 12/16/11 at 41:9-11 [THE COURT: "I know Mr. Oldfield pointed out that the requirement is that the synopsis talk about dates and locations, and you have a proposal to do that."]

151 VRP 12/16/11 at 42:5-10

<sup>&</sup>lt;sup>152</sup> VRP 12/16/11 at 44 (beginning at line 11) – 45:1 [Emphasis added]

<sup>&</sup>lt;sup>153</sup> CP 162

<sup>154</sup> CP 549

"correct" the charge by correcting the ballot synopsis by adding approximated dates from unverified additional information. 155

However, the trial court cannot "save" the ballot synopsis by considering unverified additional information filed with Farris's unverified charges. As Justice Madsen wrote in her concurring opinion In *In re Recall of West*, 156

> Although the trial court has the authority to "correct" the ballot synopsis to adequately reflect the charge, regardless of whether the "correction" pertains to factual or legal matters, the court cannot "correct" the charge by correcting the ballot synopsis. Thus, if the charge meets the statutory requirements then the trial court has the authority to ensure that the ballot synopsis correctly sets forth the allegations that support the recall charge. If, conversely, the charge is legally and/or factually insufficient then the recall petition must be dismissed and no "correction" by the trial court to the ballot synopsis can save it.

This Court has expressly held that it will not consider unverified charges or additional unverified evidence in a recall action.<sup>157</sup> Because Farris's petition does not satisfy the qualitative prong of the factual sufficiency analysis, the Court does not need to reach

<sup>156</sup> In re Recall of West, 155 Wn.2d 659, 668-669, 121 P.3d 1190 (2005)

[concurring opinion]. Emphasis added.

<sup>155</sup> Compare CP 162 with CP 549.

In re Recall of Wasson, 149 Wn.2d 787, 790, 72 P.3d 170 (2003) ["The petitioner did not sign the additional information or verify under oath that he believed the charges to be true and that he had knowledge of the facts as required by RCW 29.82.010."]

the other elements of the analysis. <sup>158</sup> Nevertheless, each of the charges <sup>159</sup> is factually and legally insufficient.

Recall charges must state the acts complained of in concise language, and provide a detailed description including the approximate date, location, and nature of each act. Charges must also specify why the challenged acts constitute misfeasance, malfeasance or violation of oath of office. Recall charges must not only demonstrate the facts supporting that charge, but must also show that the acts were wrongful. Recall charges must state with specificity substantial conduct clearly amounting to misfeasance, malfeasance or violation of the oath of office. Where commission of an unlawful act is alleged, the petitioner must show knowledge of facts indicating intent to commit an unlawful act. Recall charges are required to demonstrate not

<sup>&</sup>lt;sup>158</sup> *Id.*, 793 ["Because Pina's petition does not satisfy the qualitative prong of the factual sufficiency analysis, we do not need to reach the other elements of the analysis."]

<sup>159</sup> See "Amended Request" (Nov. 17, 2010) CP 195 et seq.

<sup>&</sup>lt;sup>160</sup> See App. A: RCW 29A.56.110; *In re Lee*, 122 Wn.2d 613, 616, 859 P.2d 1244 (1993).

<sup>&</sup>lt;sup>161</sup> Jewett v. Hawkins, 123 Wn.2d 446, 448, 868 P.2d 146 (1994).

<sup>162 &</sup>lt;u>Matter of McNeill,</u> 113 Wn.2d 302, 306, 778 P.2d 524 (1989).

<sup>163 &</sup>lt;u>In re Recall of Lakewood City Council Members</u>, 144 Wn.2d 583, 585, 30 P.3d 474 (2001).

<sup>&</sup>lt;sup>164</sup> In re Wade, 115 Wn.2d 544, 549, 799 P.2d 1179 (1990).

only that the official intended to commit the act, but also that the official intended to act unlawfully. 165

<u>Charge 1</u>: Mr. Washam violated the Pierce County Code 3.14.030(c) Reporting Improper Government Action – Employee Protection, Pierce County Code 3.14.030(d) Retaliatory Action Prohibited, and Pierce County Code 3.14.030(e) Confidentiality of a Person Filing a Complaint.

Judge Felnagle questioned if there was "any improper intent" necessary to support this charge. However, he concluded there was based on "circumstantial evidence or inference and surrounding circumstances." Thus, this charge is not concise, fails to provide requisite detail or establish just cause for recall. Furthermore, the charge relies on unverified additional "circumstantial evidence or inferences and surrounding circumstances." Washam had a legally cognizable justification for discretionary personnel decisions. 168

### **Charge 2:** Gross Waste of Public Funds as defined in RCW 42.40.020(5)

This charge alleges that Washam

[W]asted hundreds of thousands of dollars pursuing criminal charges for his predecessor's use of

<sup>&</sup>lt;sup>165</sup> <u>In re Recall of Pearsall-Stipek</u>, 141 Wn.2d 756, 765, 10 P.3d 1034 (2000) (quoting <u>Pearsall-Stipek</u>, 136 Wn.2d at 263); in addition see <u>In re Telford</u>, 166 Wn.2d at 158.

<sup>&</sup>lt;sup>166</sup> VRP 12/16/10 at 33:15-25.

<sup>&</sup>lt;sup>167</sup> VRP 12/16/10 at 33 (starting at 25) – 34:5

<sup>&</sup>lt;sup>168</sup> The Diamond report summary confirms a coordinated campaign to falsify public assessment records. CP 394

statistical valuation rather than the physical inspections required by law. 169

This charge relies upon numerous unverified sources, including an unverified and incomplete report by Kent Nakamura dated May 25, 2010.<sup>170</sup> As noted in her "Amended Request," the "Attachments not available."<sup>171</sup>

Moreover, Washam had a legally cognizable justification for his discretionary decision to petition the prosecutor and other public agencies to pursue wrongdoing by his predecessor in office. Such petitions are protected as a matter of law and public policy. 173

Charge 3: Mr. Washam violated the Pierce County Code Chapter 3.14.030(c), Improper Governmental Action, by failing to rectify his retaliatory acts and 3.16 Equal Employment Opportunity Policy. "Under Chapter 3.16, he failed to protect Sally Barnes from retaliation, false accusations, or future improper treatment and has not taken reasonably prompt and effective remedial measures." Enclosure (2) page 47.

As with the first charge, the issue here is one of intent.

Again, this charge relies on unverified additional information.

<sup>&</sup>lt;sup>169</sup> CP 196

<sup>&</sup>lt;sup>170</sup> CP 91 et seq.

<sup>&</sup>lt;sup>171</sup> CP 193

<sup>172</sup> The Diamond report summary confirms that employees were directed by former Assessor-Treasurer Ken Madsen and Deputy Kathy Fewins "to enter and count statistically updated property records ... as physically inspected properties in reports submitted to Pierce County Budget & Finance and the Washington Department of Revenue from 2001-2008."). CP 394. False reporting/false swearing is a crime. RCW 9A.72.040

<sup>&</sup>lt;sup>173</sup> RCW 4.24.510

Judge Felnagle relied on an unverified investigation report. 174 Washam had a legally cognizable justification for all he did regarding discretionary personnel decisions. 175

### Charge 4: Violated Pierce County Code 3.15.020(b)(2)

This charge involved "repeatedly invoking religion in the workplace" <sup>176</sup> and was dismissed by the trial court. <sup>177</sup>

Charge 5: Violated Pierce County Code 3.16.080(a) – Deliberate non-participation in Discrimination and Retaliation investigation held on August 7, 2009, May 25, 2010, and August 12, 2010, and RCW 42,20,080, Other Violation by Officers.

This charge relies upon numerous unverified sources. Additionally, there is the question of "intent." Washam had a legally cognizable justification for all he did regarding discretionary personnel decisions. 178 He also had a fiduciary duty to protect the taxpayer's interest in the correctness of assessment records.

Charge 6: Mr. Washam violated his Oath of Office by knowingly and purposely violating the RCW 42.20.080 and Pierce County Code 3.14.030(c), 3.14.030(d), 3.14.030(f), 3.15.020(b)(2), 3.16.090 and the intent of the law covered under RCW 42.40.010.<sup>179</sup>

<sup>&</sup>lt;sup>174</sup> VRP 12/16/10 at 37:8-9

<sup>&</sup>lt;sup>175</sup> The Diamond report summary confirms a coordinated campaign to falsify public assessment records. CP 394 176 VRP 12/16/10 at 38:7-11

<sup>&</sup>lt;sup>177</sup> VRP 12/16/10 at 38:7-11

<sup>&</sup>lt;sup>178</sup> The Diamond report summary confirms a coordinated campaign to falsify public assessment records. CP 394 <sup>179</sup> CP 199

Judge Felnagle correctly referred to this as "just a catchall." As such, the charge is not concise. Moreover, it is not legally sufficient or sustainable since our state's public policy and statues encourages citizens to complain to government about illegal activities, waste and fraud. Washam had a legally cognizable justification for all he did regarding discretionary personnel decisions. He also had a fiduciary duty to protect the taxpayer's interest in the correctness of assessment records.

The unverified enclosures attached to the unverified statement of charges dated October 29, 2010, have no legal standing and do not support the requirement of factual and legal sufficiency. Moreover, they were not attached to the "Amended Request."

### VI. CONCLUSION

Pierce County Assessor-Treasurer Washam respectfully requests this Court reverse the superior court's recall decision based on procedural and substantive grounds.

<sup>&</sup>lt;sup>180</sup> VRP 12/16/10 at 39:19

<sup>&</sup>lt;sup>181</sup> RCW 4.24.510

<sup>&</sup>lt;sup>182</sup> The Diamond report summary confirms a coordinated campaign to falsify public assessment records. CP 394

Dated: 1/20/11

Shawn Timothy Newman Attorney at Law, Inc., P.S. WSBA 14193 Attorney for Appellant

### **IN RE THE RECALL OF DALE WASHAM** [Supreme Court No. 85460-2]

### **APPENDIX A: RECALL STATUTES**

### <u>RCW 29A.56.110: Initiating proceedings — Statement — Contents — Verification — Definitions.</u>

Whenever any legal voter of the state or of any political subdivision thereof, either individually or on behalf of an organization, desires to demand the recall and discharge of any elective public officer of the state or of such political subdivision, as the case may be, under the provisions of sections 33 and 34 of Article 1 of the Constitution, the voter shall prepare a typewritten charge, reciting that such officer, naming him or her and giving the title of the office, has committed an act or acts of malfeasance, or an act or acts of misfeasance while in office, or has violated the oath of office, or has been guilty of any two or more of the acts specified in the Constitution as grounds for recall. The charge shall state the act or acts complained of in concise language, give a detailed description including the approximate date, location, and nature of each act complained of, be signed by the person or persons making the charge, give their respective post office addresses, and be verified under oath that the person or persons believe the charge or charges to be true and have knowledge of the alleged facts upon which the stated grounds for recall are based.

### For the purposes of this chapter:

- (1) "Misfeasance" or "malfeasance" in office means any wrongful conduct that affects, interrupts, or interferes with the performance of official duty;
- (a) Additionally, "misfeasance" in office means the performance of a duty in an improper manner; and
  - (b) Additionally, "malfeasance" in office means the commission of an unlawful act;
- (2) "Violation of the oath of office" means the neglect or knowing failure by an elective public officer to perform faithfully a duty imposed by law.

### RCW 29A.56.120: Petition — Where filed.

Any person making a charge shall file it with the elections officer whose duty it is to receive and file a declaration of candidacy for the office concerning the incumbent of which the recall is to be demanded. The officer with whom the charge is filed shall promptly (1) serve a copy of the charge upon the officer whose recall is demanded, and (2) certify and transmit the charge to the preparer of the ballot synopsis provided in RCW 29A.56.130. The manner of service shall be the same as for the commencement of a civil action in superior court.

### RCW 29A.56.130: Ballot synopsis.

- (1) Within fifteen days after receiving a charge, the officer specified below shall formulate a ballot synopsis of the charge of not more than two hundred words.
- (a) Except as provided in (b) of this subsection, if the recall is demanded of an elected public officer whose political jurisdiction encompasses an area in more than one county, the attorney general shall be the preparer, except if the recall is demanded of the attorney general, the chief justice of the supreme court shall be the preparer.
- (b) If the recall is demanded of an elected public officer whose political jurisdiction lies wholly in one county, or if the recall is demanded of an elected public officer of a district whose jurisdiction encompasses more than one county but whose declaration of candidacy is filed with a county auditor in one of the counties, the prosecuting attorney of that county shall be the preparer, except that if the prosecuting attorney is the officer whose recall is demanded, the attorney general shall be the preparer.
- (2) The synopsis shall set forth the name of the person charged, the title of the office, and a concise statement of the elements of the charge. Upon completion of the ballot synopsis, the preparer shall certify and transmit the exact language of the ballot synopsis to the persons filing the charge and the officer subject to recall. The preparer shall additionally certify and transmit the charges and the ballot synopsis to the superior court of the county in which the officer subject to recall resides and shall petition the superior court to approve the synopsis and to determine the sufficiency of the charges.

### RCW 29A.56.140: Determination by superior court — Correction of ballot synopsis.

Within fifteen days after receiving the petition, the superior court shall have conducted a hearing on and shall have determined, without cost to any party, (1) whether or not the acts stated in the charge satisfy the criteria for which a recall petition may be filed, and (2) the adequacy of the ballot synopsis. The clerk of the superior court shall notify the person subject to recall and the person demanding recall of the hearing date. Both persons may appear with counsel. The court may hear arguments as to the sufficiency of the charges and the adequacy of the ballot synopsis. The court shall not consider the truth of the charges, but only their sufficiency. An appeal of a sufficiency decision shall be filed in the supreme court as specified by RCW 29A.56.270. The superior court shall correct any ballot synopsis it deems inadequate. Any decision regarding the ballot synopsis by the superior court is final. The court shall certify and transmit the ballot synopsis to the officer subject to recall, the person demanding the recall, and either the secretary of state or the county auditor, as appropriate.

### **IN RE THE RECALL OF DALE WASHAM** [Supreme Court No. 85460-2]

### **APPENDIX B: CHRONOLOGY (2010)**

October 29: Farris files unverified charges with enclosures.<sup>1</sup>

November 12: Prosecutor files and serves Recall Petition<sup>2</sup>

November 17: Farris dated and filed "Amended Request" (without enclosures) with Auditor<sup>3</sup>

November 18: Farris files and serves "Memorandum in Support of Sufficiency of Charges and Adequacy of Ballot Synopsis" and proposed order. Does not attach "Amended Request" but refers to it and the original unverified charges together as the "Recall Petition."

<u>November 18</u>: Prosecutor Miles' Declaration attaching Farris's "Amended Request" and declaring: "The Prosecuting Attorney takes no position on the validity or effect, if any, of this Amended Request."<sup>5</sup>

November 18: Washam files his response to the petition without reference to the "Amended Request."  $^6$ 

November 21: Auditor serves Washam with "Amended Request ... dated November 18."

November 22: Hearing – rescheduled so that "the amended petition" can "be delivered in the appropriate fashion and Mr. Washam ... time to respond."

November 28: Deadline for Superior Court action per RCW 29A.46.140.

<u>December 16</u>: Sufficiency hearing. Judge Felnagle rules that the "Amended Request" will be allowed as an amendment to the charges. <sup>9</sup> He then makes a final decision on the sufficiency of those charges and adequacy of the ballot title.

**December 29:** Washam files his petition for review.<sup>10</sup>

<sup>&</sup>lt;sup>1</sup> CP 190

<sup>&</sup>lt;sup>2</sup> CP 189

<sup>&</sup>lt;sup>3</sup> CP 190

<sup>&</sup>lt;sup>4</sup> CP 204

<sup>&</sup>lt;sup>5</sup> CP 191:9-10 [See App: C]

<sup>&</sup>lt;sup>6</sup> CP 211

<sup>&</sup>lt;sup>7</sup> CP 284

<sup>8</sup> VRP 11/22/10 at 15:13-16

<sup>9</sup> VRP 12/16/10 at 11:14

<sup>&</sup>lt;sup>10</sup> CP 552

# IN RE THE RECALL OF DALE WASHAM [Supreme Court No. 85460-2] APPENDIX C: DECLARATION OF ALAN L. MILES SPECIAL DEPUTY PIERCE COUNT PROSECUTING ATTORNEY CP 190-202

November 18 2010 1:53 PM

KEVIN STOCK COUNTY CLERK NO: 10-2-14958-4

## STATE OF WASHINGTON PIERCE COUNTY SUPERIOR COURT

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NO. 10-2-14958-4

THE RECALL OF DALE WASHAM, Pierce County Assessor-Treasurer.

DECLARATION OF ALAN L. MILES

- I, Alan L. Miles, under penalty of perjury under the laws of the State of Washington, hereby declare as follows:
- I am a duly appointed, qualified and acting Special Deputy Pierce County Prosecuting Attorney.
- 2. This is an action to determine the sufficiency of recall charges filed against Pierce County Assessor-Treasurer Dale Washam.
- 3. This action was initiated based on a statement of charges filed with the Pierce County Auditor on or about October 29, 2010 by Robin Farris, Chairman, Committee to Recall Dale Washam.
- 4. On or about November 17, 2010, Robin Farris, Chairman, Committee to Recall Dale Washam, filed an "Amended Request Adjudication [sic] to Petition to the Citizens of Pierce County for Recall of the Pierce County Assessor-Treasurer, Mr. Dale Washam (To

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Correct Citation in Page 2, These are the Allegations, Line 19, Charge 6, Line 2, and to
Correct Verification)" (the "Amended Request"). A true and correct copy of the Amended
Request is attached hereto as Exhibit A.

- 5. In this action, the statutory duties of the Pierce County Prosecuting Attorney are imited to drafting a ballot synopsis of the charges, certifying the charges and the synopsis to the Court, and petitioning the Court for approval of the synopsis and for a determination of the sufficiency of the charges. The Prosecuting Attorney has discharged these duties.
- 6. The Prosecuting Attorney takes no position on the validity or effect, if any, of the Amended Request.

EXECUTED this 18th day of November, 2010.

RUSSELL D. HAUGE Kitsap County Prosecuting Attorney

ALAN L. MILES, WSBA No. 26961 Special Deputy Pierce County Prosecuting Attorney 614 Division Street, MS-35A Port Orchard, WA 98366-4676 (360) 337-7223 amiles@co.kitsap.wa.us

# EXHIBIT A

AMENDED REQUEST FOR ADJUDICATION TO PETITION FOR RECALL OF THE PIERCE COUNTY ASSESSOR-TREASURER

November 17, 2010

RECEIVED
Pierce County Auditor

Julie Anderson Pierce County Auditor 2501 S. 35th St, Room 200 Tacoma, WA 98409

NOV 17 2010

Subj: Amended Request Adjudication to Petition to the Citizens of Pierce County for Recall of the Pierce County Assessor-Treasurer, Mr. Dale Washam (To Correct Citation in Page 2, These are the Allegations, Line 19, Charge 6, Line 2, and to Correct Verification).

### **Enclosures:**

- (1) Investigative Report Investigation of Discrimination and Retaliation in the Pierce County Assessor/Treasurer's Office by Diane Hess Taylor dated August 7, 2009
- (2) Investigation Report: Complaints of Improper Governmental Conduct against Pierce County Assessor-Treasurer Dale Washam conducted by Kent Nakamura at Nakamura, LLC dated May 25, 2010 (Attachments not available)
- (3) Investigation Report EEO Complaints in the Pierce County Office of the Assessor-Treasurer conducted by Donald W. Heyrich from Heyrich, Kalish, McGuigan, PLLC dated August 12, 2010
- (4) State of Washington Certificate of Appointment and Oath of Office

Dear Ms. Anderson,

As the Chairman of the Committee to Recall Dale Washam, I, Robin Farris, submit this document for the purpose of adjudication to petition for the recall of Pierce County Assessor-Treasurer for malfeasance on the following grounds:

- 1. Performance of duty in an improper manner;
- 2. Commission of illegal acts; and
- Violation of the Oath of Office.

### LAW GOVERNING RECALL OF AN ELECTED OFFICIAL

In Chandler v. Otto, 103 Wn.2d 268, 272, 693 P.2d 71, 73 (1984), the State Supreme Court outlines the onus of citizens to attempt a recall of an elected official as:

"For the purpose of recall," (M)isleasance or malfeasance in office means, 'wrongful conduct that affects, interrupts, or interferes with the performance of official duty." RCW 29A.56.110(1)

"Malfeasance can mean 'performance of a duty in an improper manner." RCW 29A.56.110(1)(a);

"Malfeasance can mean commission of an illegal act" RCW 29A.56.010 (1)(b); and "Violation of the Oath of Office is the willful (sic) neglect or failure by an elected public officer to perform faithfully a duty imposed by law." RCW 29A.56.110(2).

### These are the allegations ...

Since taking office in January 2009, the Pierce County Assessor-Treasurer (A-T) consistently:

- 1. Performed his duty as Assessor-Treasurer in a grossly negligent manner that is incompatible with public office;
- violated the laws of the State of Washington and Pierce County in the performance of his duties, created a hostile work environment with his capricious and retaliatory behavior, knowingly refused to cooperate with each of the three consultants hired to investigate employee Human Resources (HR) complaints; and
- 3. violated his Oath of Office.

In an effort towards brevity and clarity I will outline each of the allegations under the three definitions of malfeasance in the RCW 29A.56.110. Due to the large number of examples of Mr. Washam's poor judgment, attitude and behavior, I have selected the ones I have found to be the most offensive.

Mr. Washam had numerous EEO and retribution complaints since taking office in January, 2009. These complaints resulted in three investigations. The investigation results are detailed in Enclosure (1), (2) and (3). The following charges are based on these three investigations, research obtained on the Assessor-Treasurer's website and open source documents obtained by the Tacoma News Tribune through the Freedom of Information Act.

### PERFORMANCE OF DUTY IN AN IMPROPER MANNER

1. As Assessor-Treasurer, Mr. Washam acted in a manner that is incompatible with public office.

<u>Charge 1</u>: Mr. Washam violated the Pierce County Code 3.14.030(c) Reporting Improper Government Action – Employee Protection, Pierce County Code 3.14.030(d) Retaliatory Action Prohibited, and Pierce County Code 3.14.030(e) Confidentiality of a Person Filing a Complaint

- A. The A-T posted derogatory information on his website regarding Human Resource actions by his employees. In one example, Mr. Washam called an employee's HR complaint on the A-T website "Bogus." According to one report, the "Bogus" remark remained posted on the website under, 'Dale's Corner' for three months in 2009. He did not identify this person by name; however, he did post the investigation on his website with Ms. Barnes identified by name. These actions are unprofessional, retaliatory, and not consistent with the behavior a reasonable person might expect of an elected official; particularly an elected official with the level of trust required by the office of Assessor-Treasurer.
- B. In the *first* investigation, in her summary of findings, Investigator Diane Hess Taylor, concludes, "Washam retaliated against the complainant based upon her participation in complaints against him based on [his religious references in the workplace] (1/22/09-see charge 2, example 3 of this document), and discrimination and retaliation (3/11/09).

Ms. Hess's findings further stated "the following events were retaliatory:"

- 1) Ostracism which included exclusion from communication, decision-making, and information about the Appraisal Division, which complainant oversees;
- 2) Requests for Investigation posted on Washam's website directed to the Pierce County Prosecuting Attorney, aimed at finding complainant "Guilty;" (Exhibit 14 of Enclosure (1)).
- 3) [The] 3/27/09 change in vacation policy, expressed in an email to the ATR office that the change was "due to abuse," and also publicly stating the change was "because a manager was abusing the policy," and "there's a manager who feels she can take two hours here and four hours there," clearly referring to the complainant. (Exhibit 9 of Enclosure (1))
- 4) Removal of the complainant's job duties (supervising nearly half the offices' staff, overseeing the Appraisal side of the office, participating as an office coordinator on the CAMA system upgrade, attendance at management team meetings and access to several sections on the office database;

5) [On] 5/11/09 assignment of complainant to a special project to remove her from her job, where she was assigned a lower level of work, and not provided sufficient direction to complete such projects;

The A-T reassigned a 31-year tenured employee of her leadership position and marginalized her into a special projects group. In the first of three investigations into Mr. Washam's chronic retaliatory behavior, Ms. Diane Hess Taylor, the investigator reported that "[w]hen the union requested details of the project and expected duration (on May 18), Deputy Ugas responded, 'we haven't thought that far ahead'." His comment reflects that the reassignment was determined prior to identifying her function. And that the only other person Mr. Ugas could be referring to was Mr. Washam, when he said "WE haven't gotten that far."

6) [On] 5/12/09 removal of complainant from her office to a remote and inferior worksite." Enclosure (1), page 3-4

### GROSS NEGLIGENCE IN OPERATION OF THE A-T OFFICE

### Charge 2: Gross Waste of Public Funds as defined in RCW 42.40.020(5)

1. The A-T wasted hundreds of thousands of dollars pursuing criminal charges for his predecessor's use of statistical valuation rather than the physical inspections required by law. In the *second* investigation report (Enclosure (2)), Mr. Nakamura's reports on page 9 that, "Although 'gross waste of public funds' is undefined in Pierce County's Code, the state's whistle-blowing statute, [RCW 42.40.020(5)], defines a 'gross waste of funds' to mean, '...to spend or use funds or to allow funds to be used without valuable result in a timely manner[, which] grossly deviat[es] from the standard of care or competence that a reasonable person would observe in the same situation."

The following statements are examples of allowing funds to be used without valuable result:

A. Over the past 22 months in office, Mr. Washam doggedly pursued criminal charges against his predecessor, Mr. Madsen, regardless of the following rulings:

 2005 Pierce County Superior Court Case No. 05-2-05329-7 finding, Judge William Thomas McPhee states, "The court further finds that there is a 'legally cognizable justification' for Mr. Madsen's actions and therefore Charge #2 is not legally sufficient." (page 2). "Charge #2 involved the alleged false reports to DOR, and so included elements of both the use of KMP initials and the failure to make the physical inspections. Judge McPhee's order was not appealed." Enclosure (1), Exhibit 15, page 2; or

 A 2009 review by the Washington State Auditor's office stating it was unlikely that Mr. Madsen's valuation methodology negatively impacted Pierce County residents.

Further, since March 2009, Mr. Washam wrote more than a dozen letters to state and county officials requesting a criminal investigation of Mr. Madsen's conduct from 2001-2008, each have summarily declined his request.

B. On October 18, 2010, as a private citizen, the Deputy A-T, Mr. Ugas, filed a document with the Pierce County Auditor's office to recall the Pierce County Prosecuting Attorney. This is one more transparent attempt by Mr. Washam to retaliate against the District Attorney, Mr. Lindquist, for not agreeing with the A-T office's attempts to criminally prosecute the former A-T, Mr. Ken Madsen.

C. According to employees, as stated in more than one investigation, Mr. Washam's pursuit to criminally prosecute Mr. Madsen takes up nearly 100% of Mr. Washam's, Mr. Ugas's and Ms. Borck's (the A-T's Assistant) time and energy.

### VIOLATED THE RCW'S AND THE PIERCE COUNTY CODE

Charge 3: Mr. Washam violated the Pierce County Code Chapter 3.14.030(c), Improper Governmental Action, by failing to rectify his retaliatory acts and 3.16 Equal Employment Opportunity Policy. "Under Chapter 3.16, he failed to protect Sally Barnes from retaliation, false accusations, or future improper treatment and has not taken reasonably prompt and effective remedial measures." Enclosure (2) page 47

### Charge 4: Violated Pierce County Code 3.15.020(b)(2)

In open business settings, Mr. Washam used profane, questionable, negative or angry language and gestures. He continued to reference religion; in fact prefacing his comments with the knowledge of wrongdoing after being cautioned about this offending practice. The *second* of three Investigation Reports written by Kent Nakamura stated in May 2010 (over one year after Mr. Washam was initially cautioned not to bring religious comments into the workplace), "...Mr. Washam continued, as the agency head, to be involved in or permit conduct [that was] religious in nature." (Enclosure (2), page 9-10)

Example 1: Profane/Lewd Language: In the third investigation report, conducted by Mr. Heyrich of Heyrich, Kalish, McGuigan, PLLC dated August 12, 2010, Mr. Heyrich reports, "On May 11, 2009, [Mr. Washam said] that CE1 (Complaining Employee 1) did not get the job [promotion] because he 'stepped on his dick.'" (Enclosure (3), page 7)

Example 2: Angry gestures - In the *second* investigation, "Individual M went to see Mr. Washam, with Mr. Ugas present. S/he wanted to express that s/he was bothered by how he [Mr. Washam] viewed them. [Mr. Washam] told Individual M, pointing his finger at her face, "Where were you when this was going on?" [Physical inspections issue during Madsen's tenure (Enclosure (2), page 38)

Example 3: Questionable and willful disregard of earlier caution from the HR Director not to bring religion into the workplace—In the second investigation, Individual G stated, "'At this point, Mr. Washam said 'Lets (sic) go into Albert's office (Mr. Ugas).' I followed his direction and entered into Mr. Ugas's office. At this point I was confused as to what might occur because when we entered into his office they closed the door. Mr. Washam reached out his hand to me and reached out his hand to Mr. Ugas and then Mr. Ugas grabbed my hand and Mr. Washam said 'Albert I will let you say something.' At this point, Mr. Ugas prayed for [redacted identifying information]. Mr. Washam followed up in the prayer in a concurrence for the prayer that Mr. Ugas had already performed. We said Amen." (Enclosure (2), page 27)

Example 4: Open display of anger – In the *first* investigation, it is reported that in a January 22, 2009 Management Team Meeting, [Mr. Washam], accused the managers of fraud and not having integrity. He chastised employees for not quitting their jobs or blowing the whistle on then A/T Ken Madsen and Chief Deputy Kathy Fewins because of this policy (Physical Inspection Policy). Those present described Washam as visibly angry, almost yelling, during this meeting." (Enclosure (1), page 10)

<u>Charge 5:</u> Violated Pierce County Code 3.16.080(a) - Deliberate non-participation in Discrimination and Retaliation investigation held on August 7, 2009, May 25, 2010, and August 12, 2010, and RCW 42.20.080, Other Violation by Officers.

1. Ms Diane Hess Taylor investigation *one* writes, "...Dale Washam violated the Pierce County Policy requiring that employees participant in and cooperate fully in the investigation of complaints' by refusing to participate in an interview, refusing to provide requested documents, and refusing to permit a tour of office." (Enclosure (1), page 4)

- 2. Mr. Kent Nakamura states in the *second* investigation, "Dale Washam's refusal to be interviewed...have resulted in an absence of direct input and information that may have been of relevance to this investigation." (Enclosure (2), page 45)
- 3. Mr. Donald W. Fleyrich states in the *third* investigation, "Assessor-Treasurer Dale Washam offered to participate in this investigation but only upon the submission of written questions and answers. This offer was not accepted because written questions and answers are not conducive to an effective investigation. Written 'interrogatories' provide no opportunity to observe the demeanor of a witness, no opportunity to challenge in-person the veracity and logic of statements by the witness, all of which are key factors in assessing allegations of discriminatory motive." (Enclosure (3), page 2)

### VIOLATED HIS OATH OF OFFICE

Charge 6: Mr. Washam violated his Oath of Office by knowingly and purposely violating the RCW 42.20.080 and Pierce County Code 3.14.030(c), 3.14.030(d), 3.14.030(f), 3.15.020(b)(2), 3.16.090 and the intent of the law covered under RCW 42.40.010(5).

In his Oath of Office (Enclosure (4)), Mr. Washam swore or affirmed that he would support the Constitution and laws of the United States and the State of Washington; and that he would faithfully and impartially discharge the duties of his office to the best of his ability.

As detailed in this document, Mr. Washam violated several laws of the State of Washington and of Pierce County. He demonstrated that he is partial and biased in the discharge of his duties, as evidenced in the consistent nuisance letters he drafted regarding his predecessor, as well as the retaliatory recall effort of the Pierce County Prosecuting Attorney.

### **SUMMARY**

An elected official is required and expected to:

- Demonstrate the highest standards of conduct, personal integrity and honesty in all activities in order to gain the confidence and trust of the public they serve.
- According to the Oath of Office, a public official is required to undertake all duties in a fair and impartial manner, refraining at all times from discrimination or the dispensation of special privileges.
- Strive to maintain a workplace that facilitates the growth and performance of employees; maintain a workplace that is free from hostility or harassment in any form. [Note 1]

Mr. Washam demonstrated a pattern of misconduct by (i) violating the laws of the State of Washington and of Pierce County; (ii) discrediting and disgracing the Pierce County Assessor-Treasurer's office; and (iii) degrading the public's trust. As the Chairman for the Committee to Recall Dale Washam, I respectfully request to petition the Pierce County voters to Recall Dale Washam.

I declare under penalty of perjury under the laws of the State of Washington, that the foregoing is true and correct to the best of my knowledge, and that I have sufficient knowledge of the alleged facts upon which the stated grounds for recall are based.

Robin Farris

Chairman, Committee to Recall Dale Washam

412 2nd St NW

Puyallup, WA 98371

Date: November 17, 2010

Note 1: Adapted by Robin Farris from the Rapid City Resolution under the title, Rapid City Code of Conduct for Elected Officials, date unknown.

Clark's Papers 85460-2

Amended request for adjudication to petition for regall of the pierce county assessor-treasurer

Volume II

### Index of Referenced Statutes and Codes

\*RCW 42.40.020(5) – "Gross waste of funds" means to spend or use funds or to allow funds to be used without valuable result in a manner grossly deviating for the standard of care or competence that a reasonable person would observe in the same situation.

\* RCW 42.40.020(5) is referred to in some enclosures as RCW 42.40.010. The statute was revised and the code is worded exactly the same in both revisions.

RCW 42.20.080 - Every officer or other person mentioned in Chapter 42.20.070, who shall willfully disobey any provision of law regulating his official conduct in cases other than those specified in said section, shall be guilty of a gross misdemeanor.

\* Persons identified in RCW 42.20.070 are "Every public officer, and every other person receiving money on behalf or for or on account of the people of the state or of any department of the state government or of any bureau or fund created by law in which the people are directly or indirectly interested, or for or on account of any county, city, fown, or any school, diking, drainage, or irrigations district.

Pierce County Code 3.14.030(c) - Reporting Improper Government Action - Employee Protection. "This section does not authorize a County officer to report information that is subject to applicable privilege against disclosive by law unless waived, or to make disclosure where prohibited by law."

Pierce County Code 3.14.030(d) - Retaliatory Action Prohibited. It is unlawful for any County official or employee to take retaliatory action against an employee because he or she (or acting pursuant to his or her request): Provided information in good faith in accordance with the provisions of this Chapter that an improper governmental action occurred; or Cooperated in a lawful investigation related to improper governmental action; or 3. Testified in a proceeding or prosecution arising out of a complaint of improper governmental action.

**Pierce County Code 3.14.030(e)** - The identity of any person filing a complaint under this Chapter shall be treated as confidential\* to the extent possible under law unless the employee authorizes the disclosure of his or her identity in writing.

\*Confidential information is defined in RCW 42.52.010(7). "Confidential information" means (a) specific information, rather than generalized knowledge, that is not available to the general public on request or (b) information made confidential by law.

Pierce County Code 3.14.030(f) - No County officer or employee shall use his or her official authority or influence, directly or indirectly, to threaten, intimidate or coerce an employee for the purpose of interfering with that employee's right to disclose information concerning an improper governmental action in accordance with the provisions of this Chapter [3.14,030].

Pierce County Code 3.15.020(b)(2) – Acts of Violence Include, but are not limited to, any deliberate act of behavior which: 2. Constitutes a directly or indirectly communicated or reasonably perceived threat to cause harm, injure, intimidate or frighten another individual.

Pierce County Code 3.16.080(a) - Duty to Participate. All employees, including the complainant, co-workers, potential witnesses, and others must participate in and cooperate fully in the investigation of complaints. Failure to do so may result in disciplinary action.

Pierce County Code 3.16.090 - Retaliation Prohibited. Retaliation is an adverse employment action, taken against an individual because they have exercised a right protected under the law such as complaining about discrimination or harassment or assisting with or participating in the resolution or investigation of such a complaint in the workplace. Any form of retaliation against a person who participates in a complaint or investigation is specifically prohibited, will not be tolerated, and will be subject to severe disciplinary action up to an (sic) including termination of employment.